IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Baltimore, et al.

Attorney Docket No.

APBI-P04-035

Serial No: To be assigned

Art Unit:

To be assigned

Filed:

January 4, 2002

Examiner:

To be assigned

For:

Nuclear Factors Associated with

Transcriptional Regulation

Assistant Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Please enter the following amendment:

In the specification:

Please replace the only complete paragraph under the heading <u>Related</u>

<u>Applications</u> on page 1 with the following text:

This application is a continuation of Serial No. 08/464,364, filed June 5, 1995, which is a divisional of Serial No. 08/418,266, filed April 6, 1995, which is a continuation of 07/791,898, filed November 13, 1991, which is a continuation-in-part of application of Serial No. 06/946,365 (WHI86-10), filed December 24, 1986, and of Serial No. 07/318,901 (WHI87-11A), filed March 3, 1989, and of Serial No. 07/162,680 (WHI87-11), filed March 1, 1988, and of Serial No. 07/341,436 (WHI89-02) filed April 21, 1989, and of Serial No. 06/817/441 (MIT-4167), filed January 9, 1986, and of Serial No. 07/155,207 (MIT-4167A), filed February 12, 1988, and of Serial No. 07/280,173 (MIT-4167AA), filed December 5, 1988. The contents of the ten referenced applications are incorporated herein by reference.

The replacement paragraph presented above incorporates changes as indicated by the marked-up version below.

This application is a continuation of Serial No. 08/464,364, filed June 5, 1995, which is a divisional of Serial No. 08/418,266, filed April 6, 1995, which is a continuation of 07/791,898, filed November 13, 1991, which is a continuation-in-part of application of Serial No. 06/946,365 (WHI86-10), filed December 24, 1986; and of Serial No. 07/318,901 (WHI87-11A), filed March 3, 1989; and of Serial No. 07/162,680 (WHI87-11), filed March 1, 1988; and of Serial No. 07/341,436 (WHI89-02) filed April 21, 1989; and of Serial No. 06/817/441 (MIT-4167), filed January 9, 1986; and of Serial No. 07/155,207 (MIT-4167A), filed February 12, 1988, and of Serial No. 07/280,173 (MIT-4167AA), filed December 5, 1988. The contents of the seven-ten referenced applications are incorporated herein by reference.

Although Applicant believes no fees are needed in connection with filing this Preliminary Amendment, should fees be due in connection with the filing of this Amendment, please charge the fees to our **Deposit Account No. 18-1945.** If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit account.

Date: January 4, 2002

Customer No: 28120
Docketing Specialist
Ropes & Gray
One International Place
Boston, MA 02110

Phone: 617-951-7739 Fax: 617-951-7050

Respectfully Submitted,

Matthew P. Vincent Reg. No. 36,709



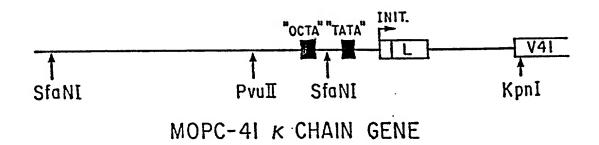


FIG.IA

poly dI-dC E. coli

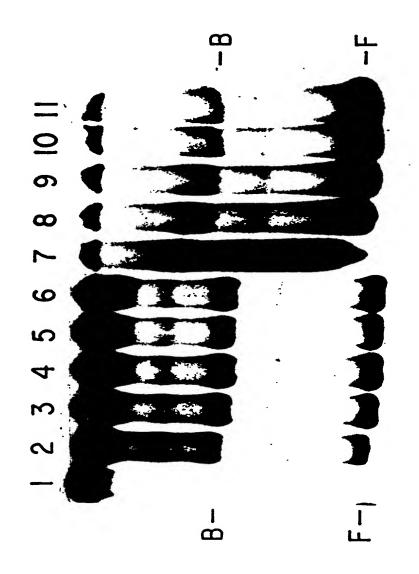


FIG.IB

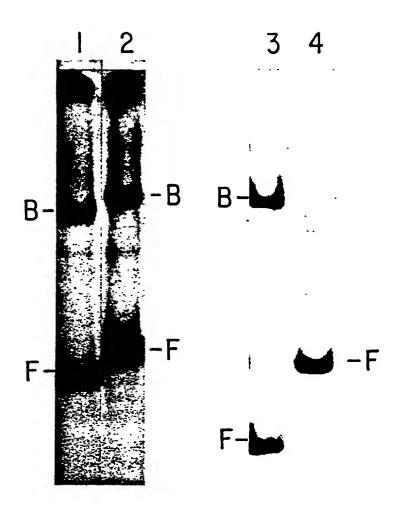


FIG.IC

pUC V_L

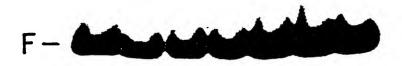


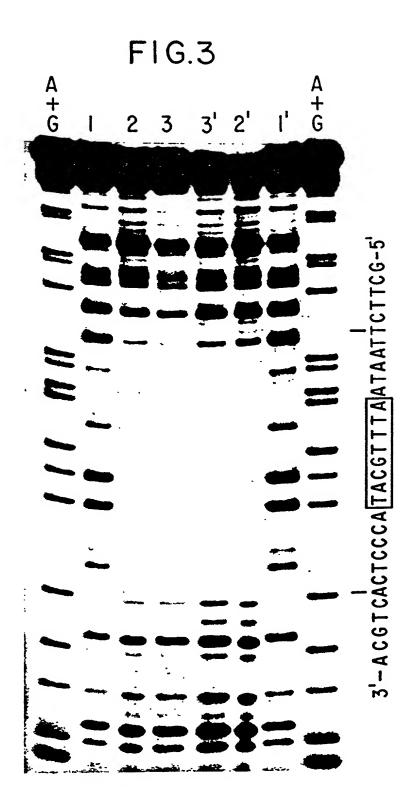
FIG.2A

FIG.2B

1 2

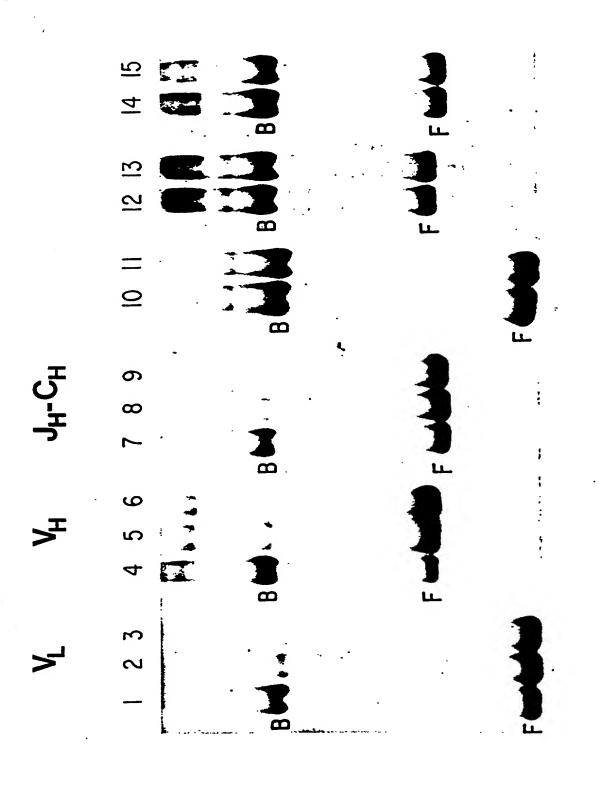


Hela



ACCCTCAC * ACTCATGA TTCTAAAA ATTTGCAT ATTTGCAT ATTTGCAT * TCTTAATA CCTGGGTA CGCACATG V_H non-coding strand (-50) J_{H} -C μ coding strand (166) V_L coding strand (-66)

FIG.4A



F16.4B

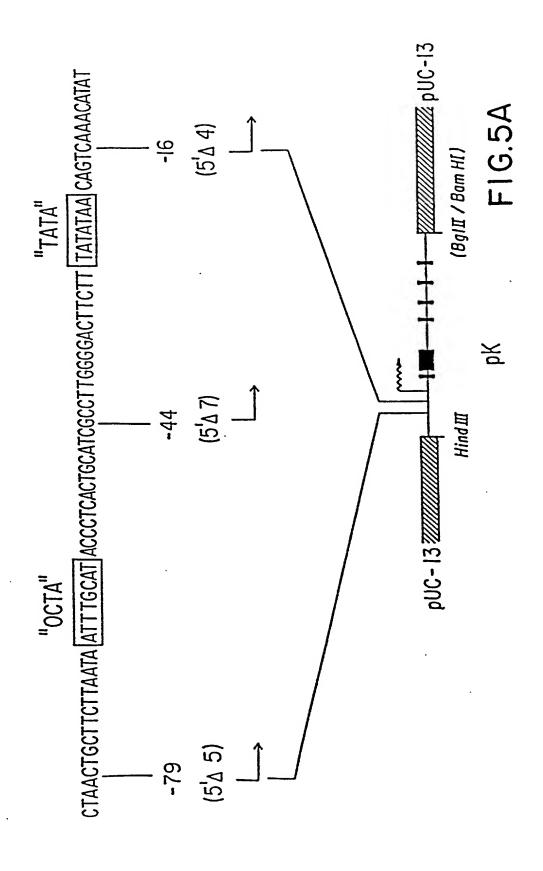
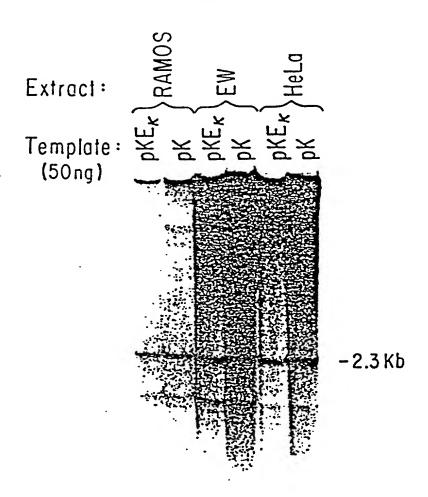
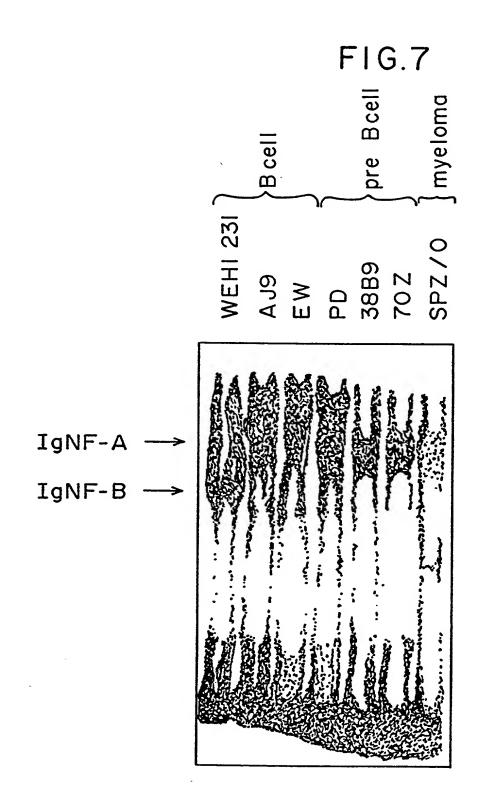


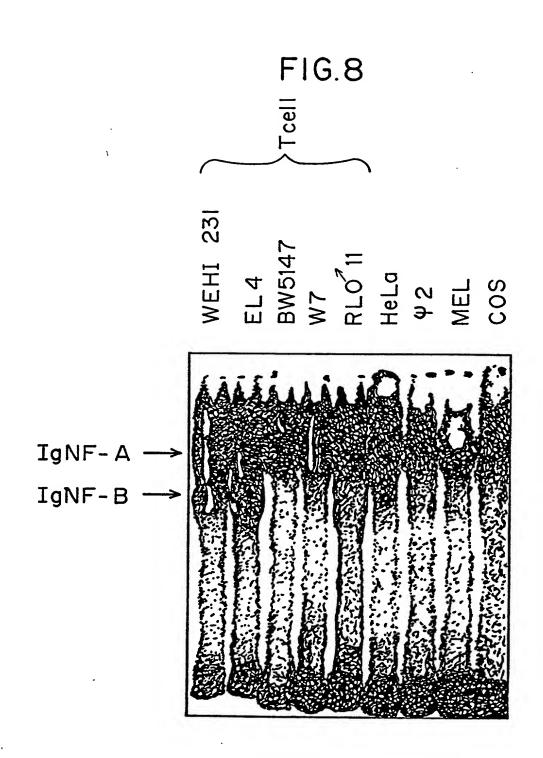
FIG.5B

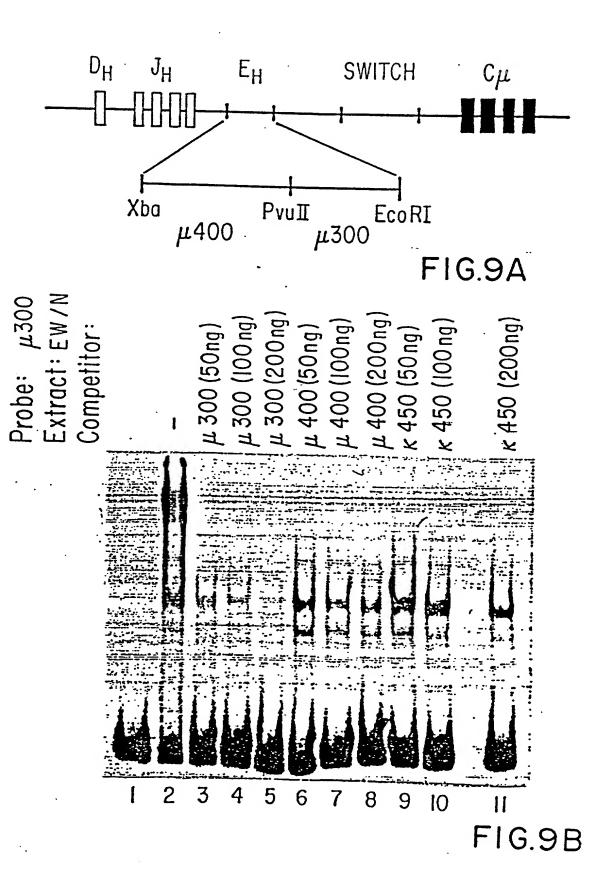


123456

FIG.6 E^{W} Extract: Template: Add d - K 1) A 2:4 2 3 4 5 6







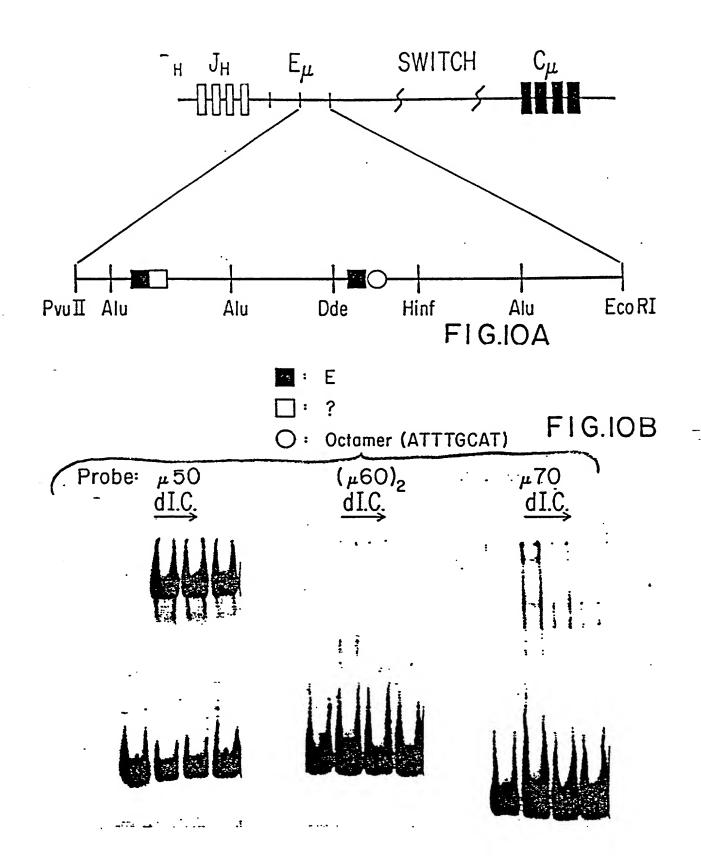


FIG.IOC D_H J_H E_H 0-000 EcoRI Alu Dde Hinf PvuII Alu μ 70 | μ50 | : PEW! O: Octomer (ATTTGCAT) LABEL: µ70 COMPETITOR:

5

2

3

6

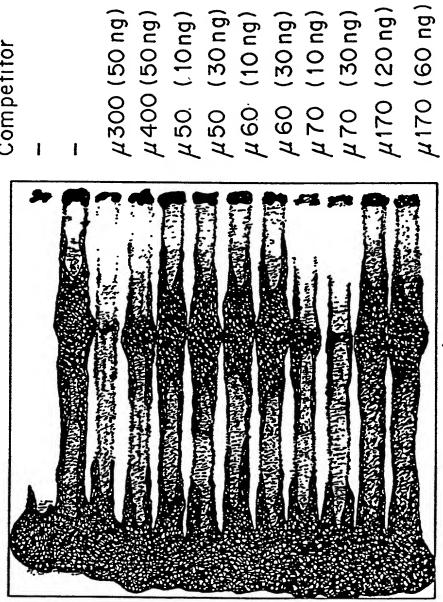
7

FIG.IOD

FIG.IOE

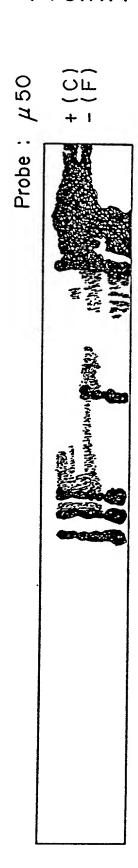
Probe: μ 70 Extract: EW(C)

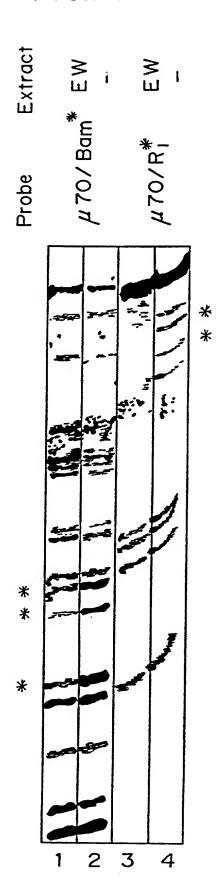
Competitor

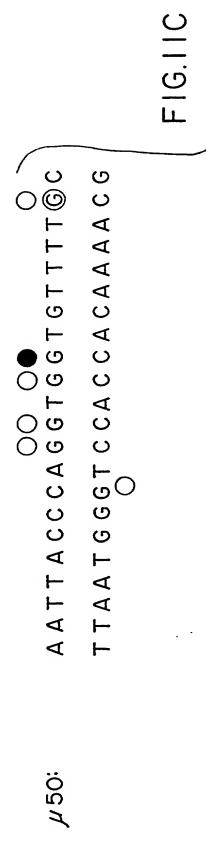


µ170 (60 ng









AGCAGGTCATGTGGCAAGGCTA
TCGTCCAGTACAGGTTCGAT

W70:

FIG.12A FRAGMENT: μ 50 EXTRACT (9-II µ gm) l 2345678910 HAF TL PD 3889 70Z EW WE111231 AJ9 SP2-0 KR-12 11 8226 RL d11 12 13 W7 14 EL4 15 BW 16 cos 17 3T3 MEL 18 19 MeLa FRAGMENT: 470 EXTRACT(9-IIµg) EW 3 C5 4 1 3889 5 70Z 6 WEIII 7 SP2-0 8 cos 9 **3T3** 10 MEL 11 PCC4 12 HeLa

FIG.12B

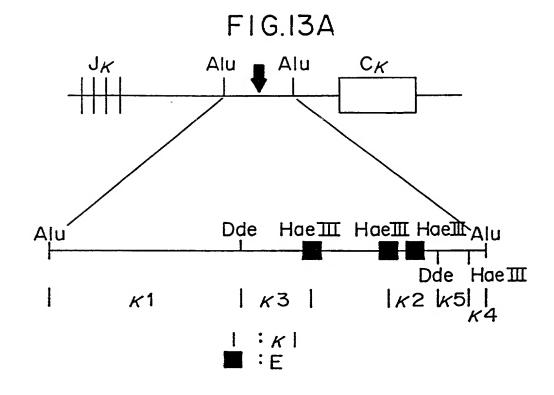


FIG.13B

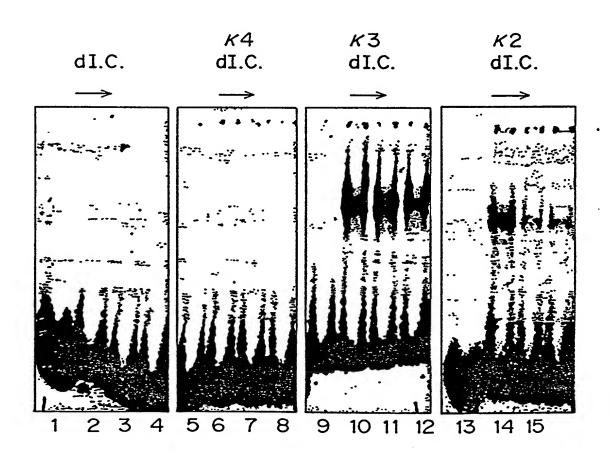


FIG.13C

M70 long M70 30ng (M 60)₂ 30 $(M60)_2$ 10 (M170)₂20 M170 60

SV 40E 50

SV 40E

8

10

2

Extract EW/c 1μ fragment Comp

1

FIG.13D

K450 (150ng) SV40E (50ng)

SV40E (150 ng)

Extract: EW/N

Probe: K3

Competitor

μ300 (150ng) μ400 (50 ng) μ400 (150 ng) Κρr (50 ng) Κρr (150ng) Κγr (150ng) μ 300 (50 ng)

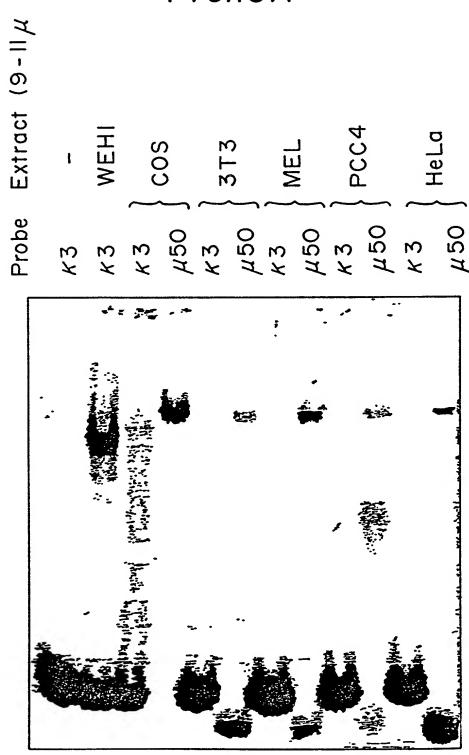
Probe: κ -3/Dde*

Extract

MPC II



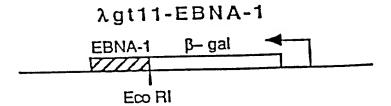
FIG.15A

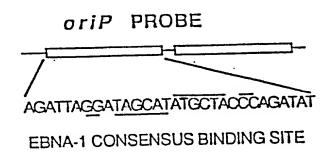


1 2 3 4 5 6 7 8 9 10 11 12

22 K3 K5 K3 K5 K5W ່ວ 8 8 6 KS BWPCII 9 10 11 12 13 14 15 16 17 $\begin{array}{c} KS \\ KS \\ KS \end{array} \} \text{EW}$ ł $\begin{array}{c} KS \\ KS \\ KS \\ KS \end{array} \right\} \text{ MEHI}$ F16.15B 0 6885 0 $\boldsymbol{\omega}$ O ~ ဖ d S 111 ŧ 4 3 ΕM 10 \sim Extract Probe

FIG.16





F1G.17

A

MHC TGGGGATTCCCCA
minc1 TGcGGATTCCCA

*EN aGGGGACTttCCG

*en aaattAcTttCCG

SVEN TGGGGACTttCCA

HIV TGGGGACTttCCA

aaGGGACTttCCA

aaGGGACTttCCA

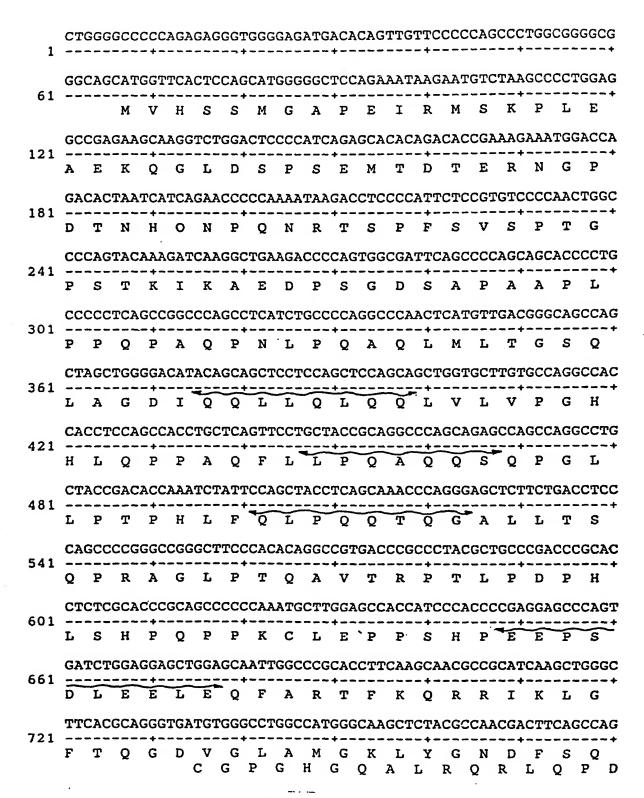


FIG. 18A

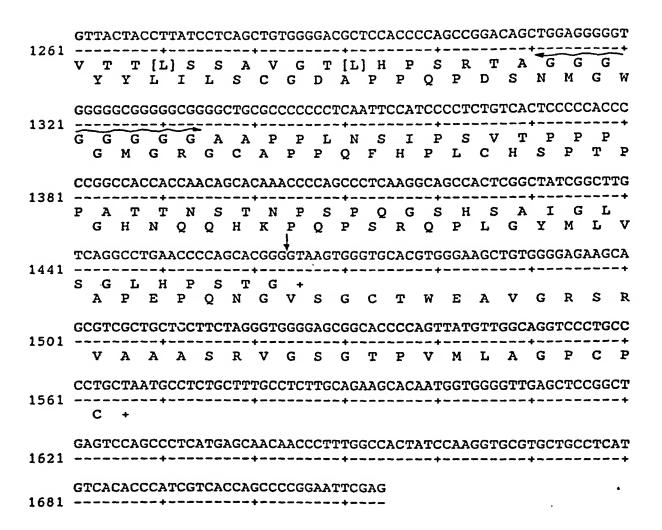


FIG.18A (CONT.)

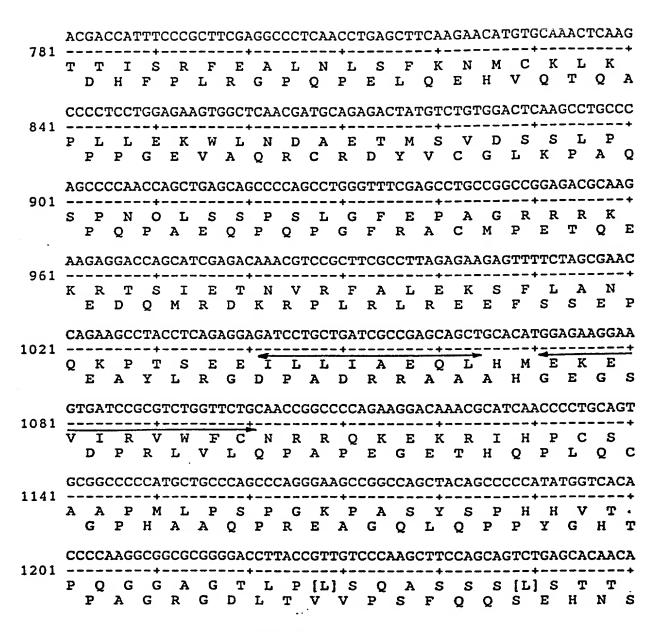


FIG.18A (CONT.)

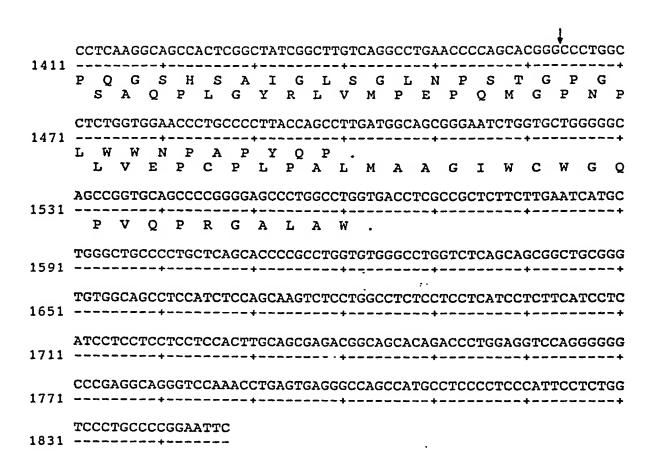
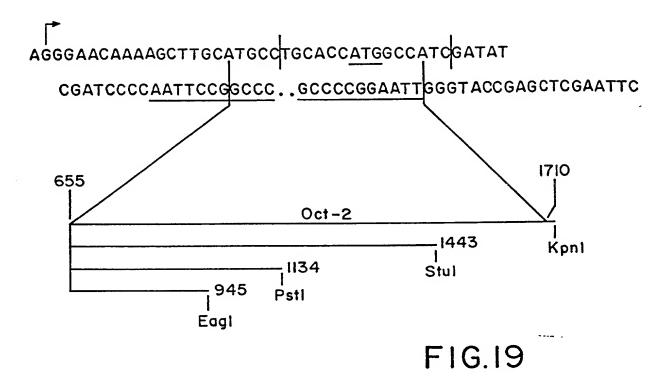


FIG.18B

N	a	a	a	E/D		DNA	LLLL	C Oct-2
		<u> </u>	•					
					N		LORF (277 AA)	С

FIG.18C



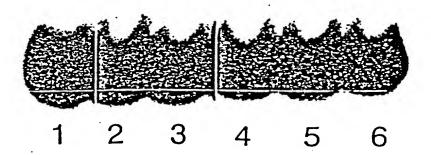
pho2 ORPKBTRAKGEALDVLKRKFEINFTFSLVERKAISULIGMEENNVALLELAMATATATATATATATATATATATATATATATATATATA	helix turn helix
	Oct-2 RRKKRTSIETNVRFALEKSFLANQKPTSEEILLIAEQLHMEKEVIRVWECNRRQKEKRINPC * * * * * * * * * * * * * * * * * *

residues in (conserved homeo-box family) EKRPRTAFSSEQLARIKREFNENRYLTERRRQQLSSELGINEAQIKIWEQNKBAKIKKST RKRGRQTYTRYQTLELEKEFHFNRYLTRRRIEIAHALCLTERQIKIWEONRBMKWKKEN SKKQBVLFSEEQKEALRLAFALDPYPNVGTIEFLANELGLATRTITNWEHNHRMRLKQQV ME N B H × H a M Antp cut en

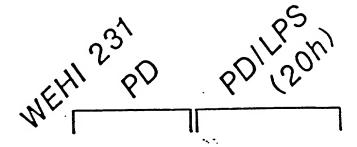
F16.20

102 102 PS (20h) NEHI 231

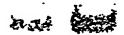


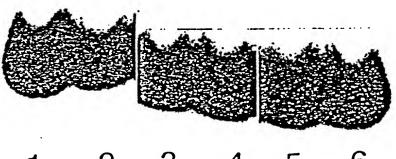


7 - 1 - 13v1.

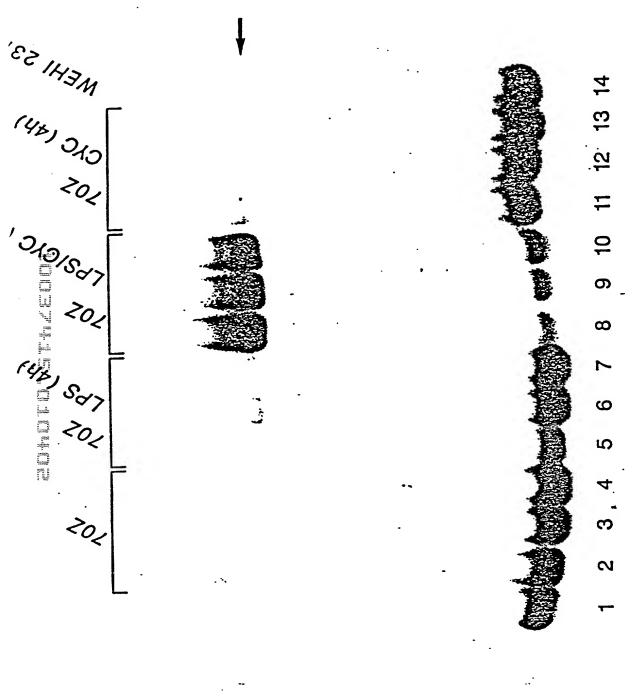


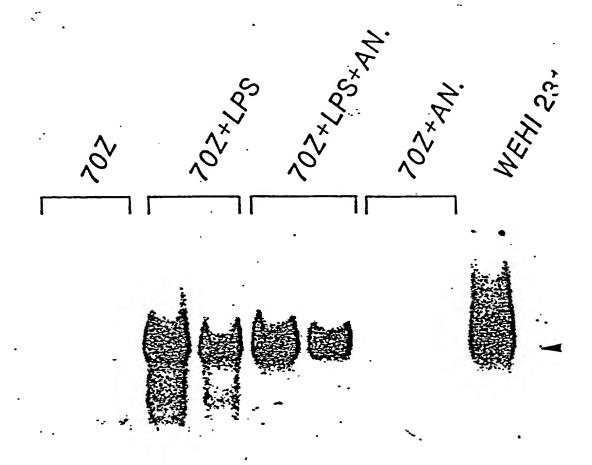


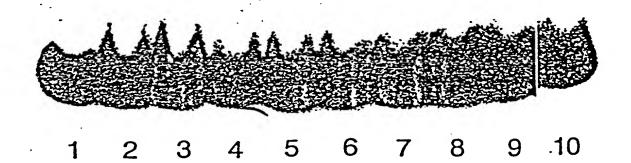


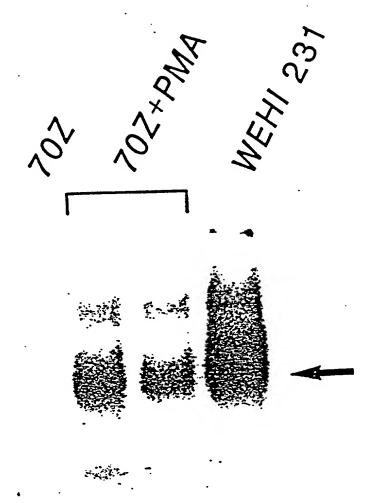


1 2 3 4 5 6



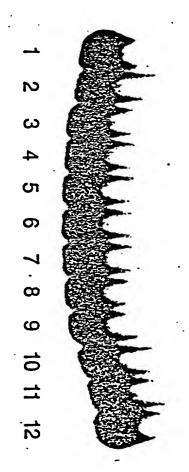








1 2 3 4 5



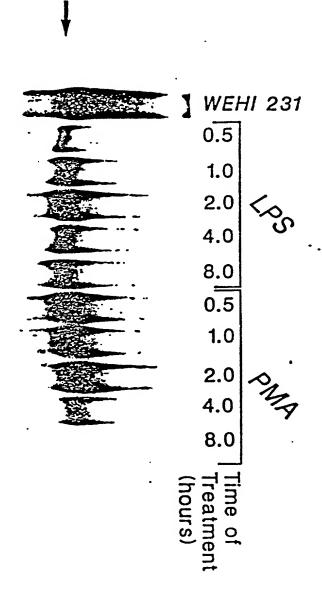


FIGURE 24A

A. Extract:

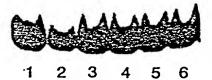


FIGURE 24B

Extract:

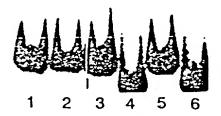


FIGURE 24C

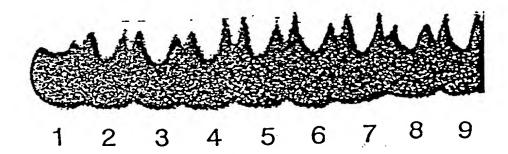
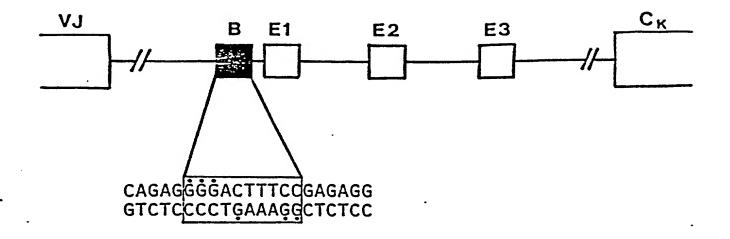


FIGURE 25

к-Enhancer



HIV LTR

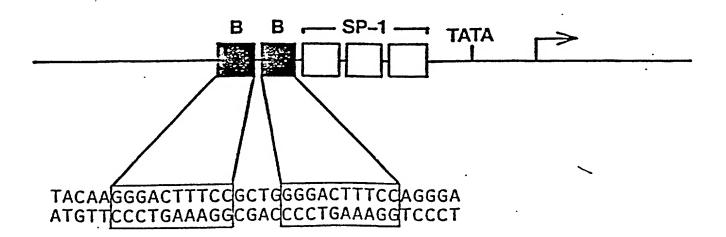
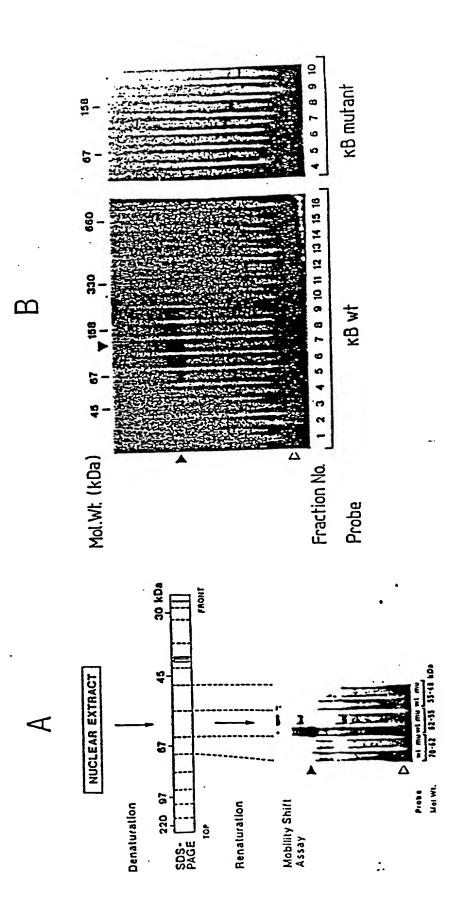


FIGURE 26



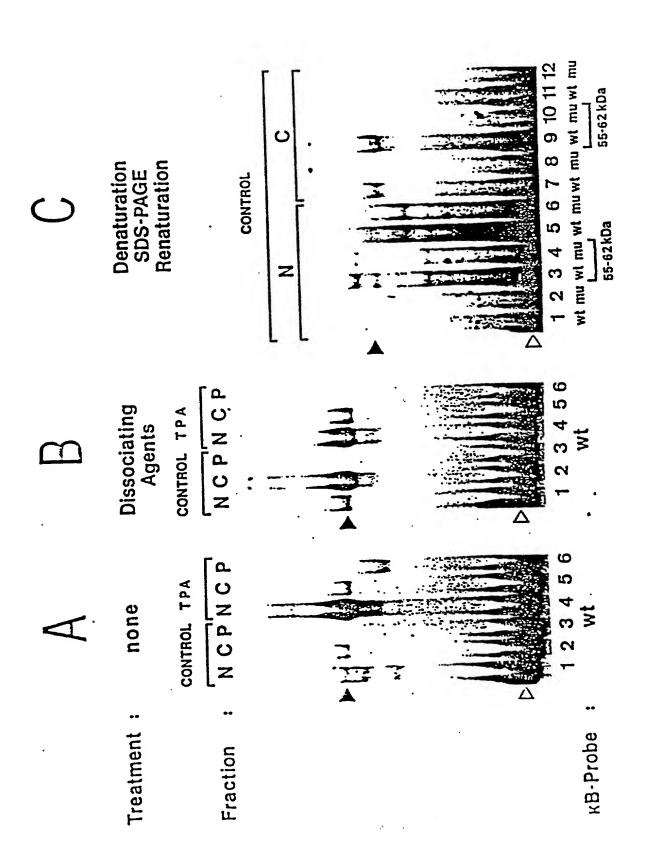


FIGURE 28

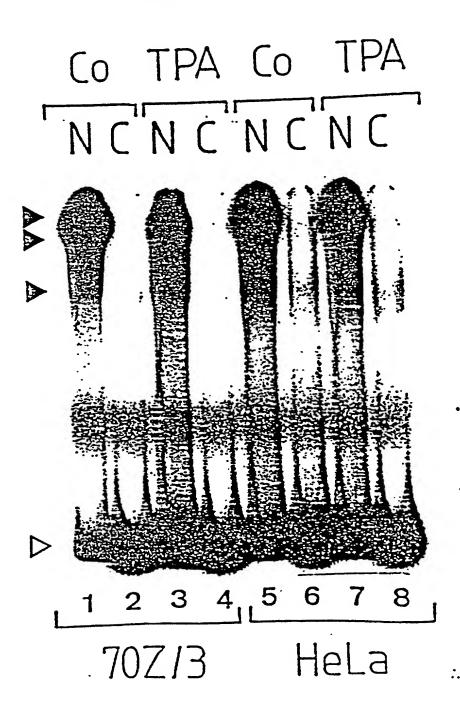


FIGURE 29

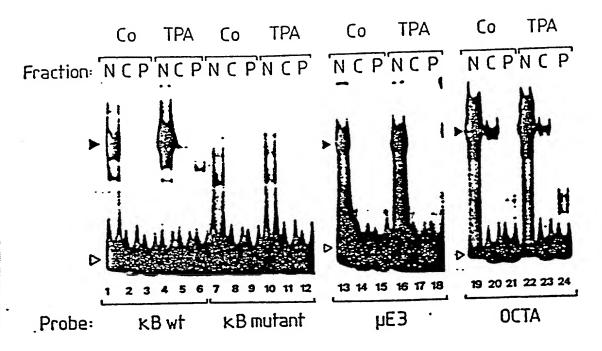


FIGURE 30

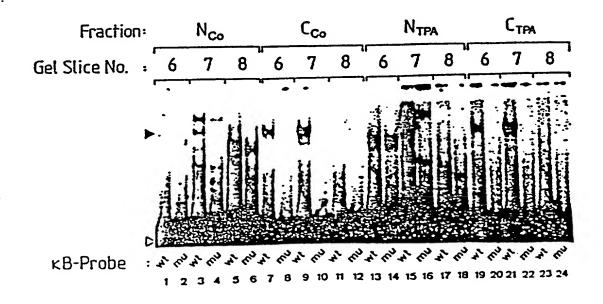
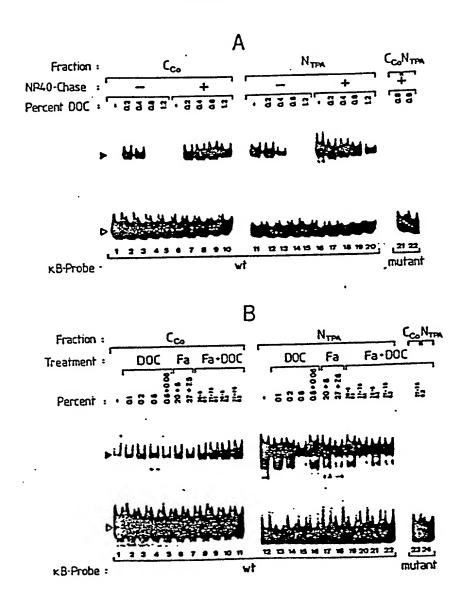


FIGURE 31



70Z/3

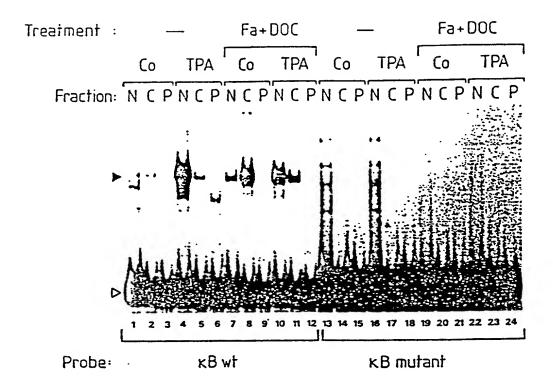
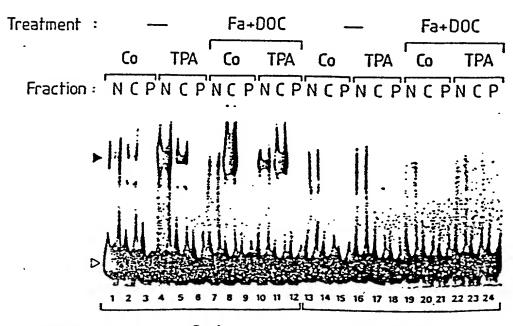


FIGURE 33

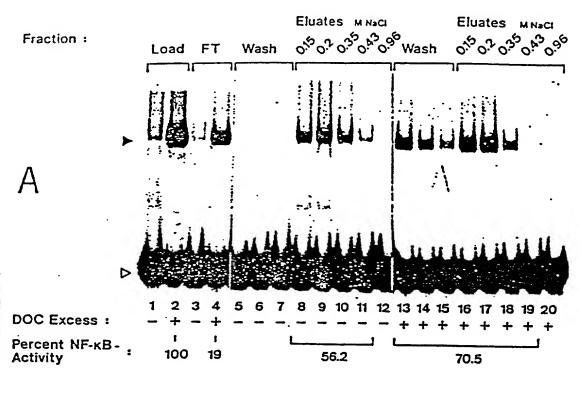
HeLa

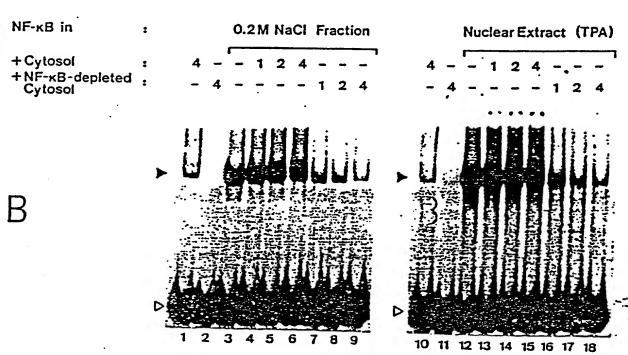


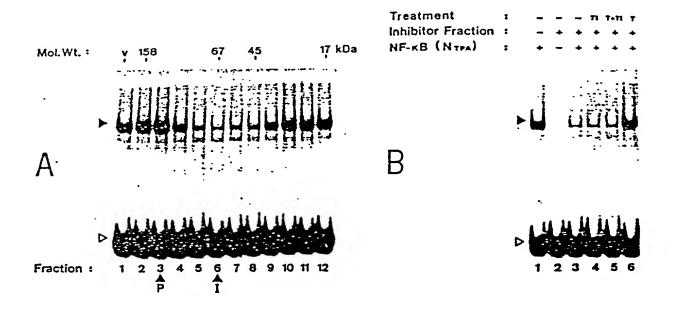
Probe:

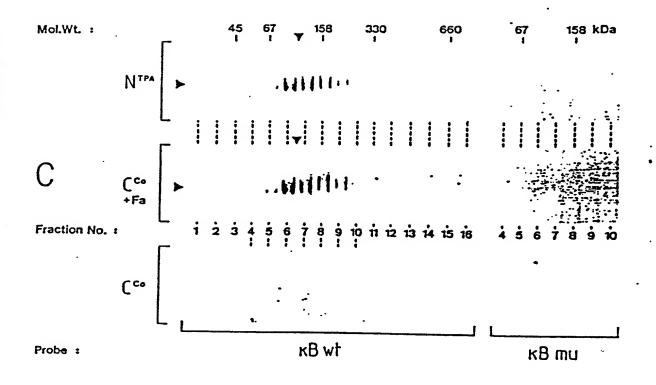
KB wt

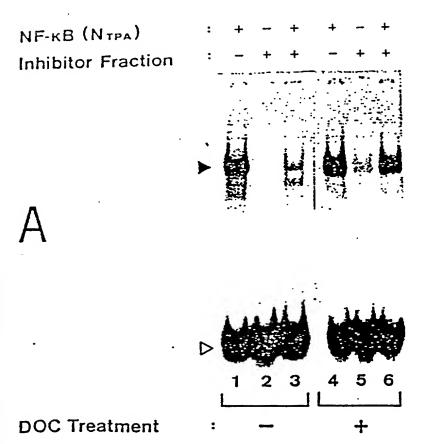
kB mutant

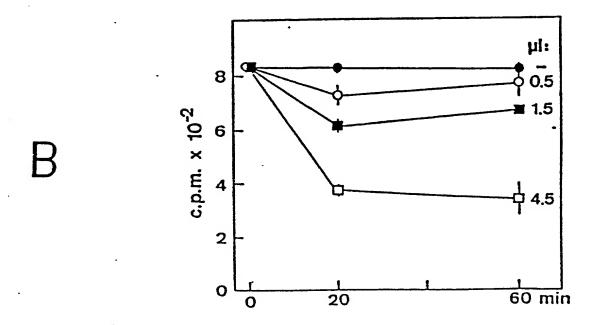


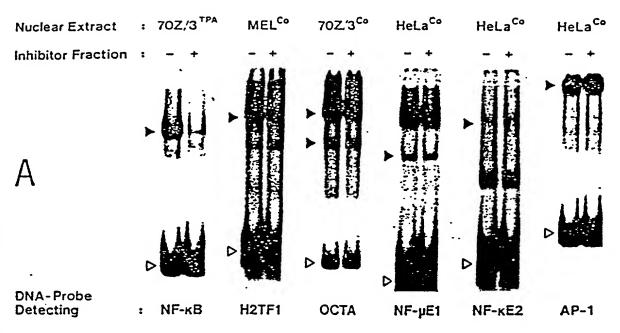


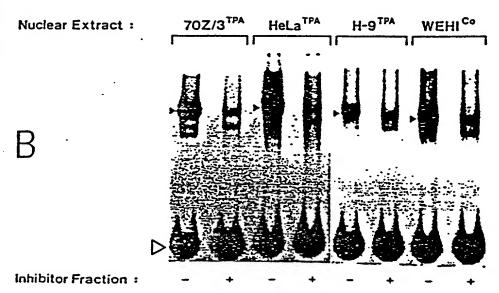




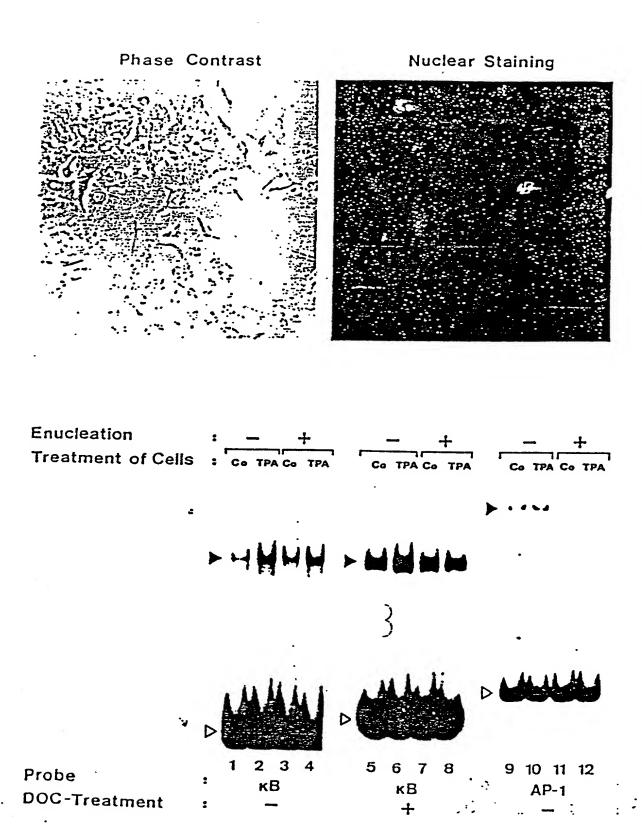












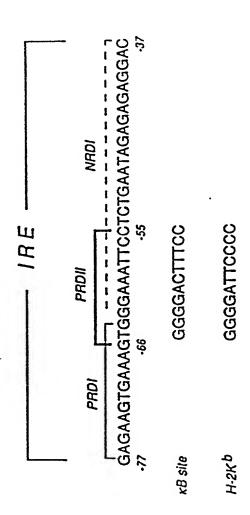


Figure 39

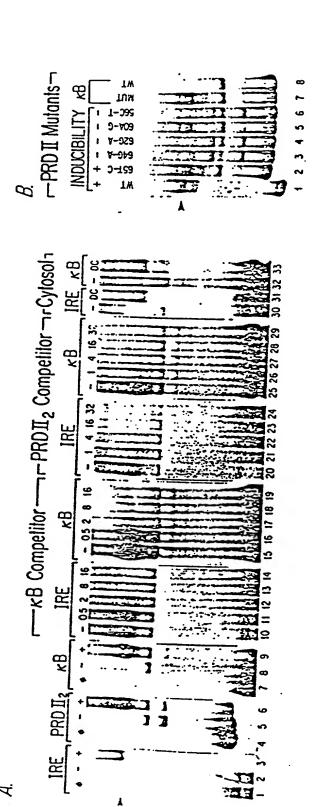


Figure 40



Figure 41

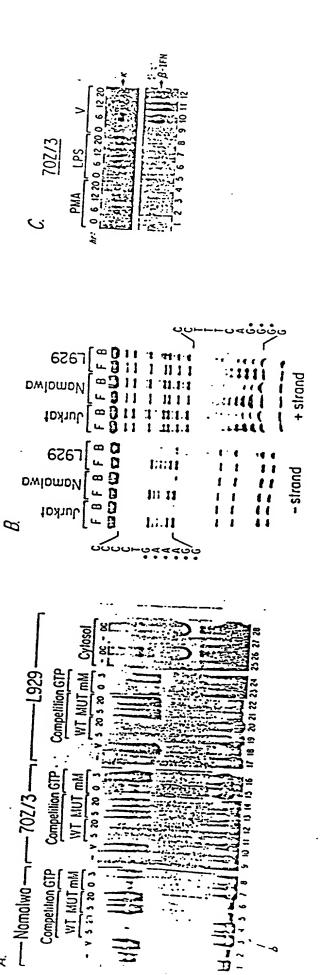


Figure 42

CCTCOROCCCTOCCTOCCCCCCCCTCCACCCCCCCCCCCC
- 1
e Ha
CHASCOLATINGAGAMATHOCONTITICARANCOCOCOCOCOCOCOCOCOCOCOCOCOCOCOCOCOCOCO
ANGGARAGARAGARGARACATACATACATACATACATACANISTICATATATATATATACACATACATACACATACAGATACATAC
ANCHERCANCTERTAMARTTMICTATTWORCANTECCCERANGERANGERTEGGANACICATECTGCANARCENTECTGGANGERGERGERGERGERGERGERGERGERGERGERGERGERG

ororegrongong chaccerchgas terregrong transferent and terregrong entroperander entropy transferent entropy dan